

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
				Governance Review								√	
Partnerships & Community Engagement	Area Action Partnerships	Corporate Governance	Area Action Partnerships	Governance Review									√
				Neighbourhood Fund					√				
				Members Initiative Fund					√				
Partnerships & Community Engagement	County Durham Partnership	Corporate Governance	Partnerships & Community Engagement	County Durham Partnership								√	
				Community Engagement						√			
				Rolling programme of risk assessed partnerships informed by annual self assessment exercise		√		√	√	√	√	√	√
		Advice & Consultancy		Review of Partnership Governance Framework					√				
	Voluntary Third Sector	Corporate Governance	Voluntary Third Sector Support	Community Buildings VFM Support		√					√		
				Community Buildings Funding		√	Moderate						
				Governance Review					√				
	Local Councils (Parish and Town)	Corporate Governance	Local Council Support	Governance Review									√

AWH

Adult Care	County Durham Care and Support	Operational	County Durham Care (In house service provider)	Governance Review						√			
				Share Lives Placement Scheme		√	Substantial						
				Reablement Services (C/frwd from 2010/11)					√				
				Extra Care Housing (C/frwd from 2010/11)									
				Continuing Health Care		√	*Limited						
				Free Nursing Care (C/frwd from 2010/11)					√				
				Establishment Audits:									
				2009/10	√		Moderate						
				2010/11		√	Substantial						
Adult Care	Older People Physical Disability Sensory Impairment Learning Disabilities Mental Health	Operational	Assessment & Review of Care Needs	Governance Review						√			

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
			Disciplinary and Grievance Procedures	Assurance to be provided through Corporate review									
			Quality Assurance	Review of source of assurance					√				
Policy, Planning and Performance	Performance and Systems	Corporate Governance	Information Security	Governance Review							√		
				Caldicott Compliance	√		Moderate		√	√	√	√	√
				SSID System Review					√				
				PARIS System Review					√				
				POPPIE System Review					√				
				Supporting People with Oxford Computer Consultants (SPOCC)		√	Substantial						
				Data Quality PI's		√	Substantial						
				DECHA (Durham Employers Care & Health Alliance)	√		Substantial						
				DAC (Durham Assessment Centre)	√		Substantial						
Policy, Planning and Performance	Policy & Planning	Corporate Governance	Policy, planning and performance	To be covered as part of corporate reviews					√				
			Governance Services	Review of source of assurance									
Social Inclusion Service	Inclusion	Operational	Welfare Rights	Governance Review		√	Substantial						√
Social Inclusion Service		Operational	Gypsies & Travellers	Governance Review							√		
				Income Collection		√	Substantial						
				Unauthorised encampment (Joint review with RED)		√	Moderate						
Social Inclusion Service	Workable Solutions	Operational	Workable Solutions	Governance Review						√			
Social Inclusion Service	Adult Learning Service	Operational	Adult Learning	Governance Review						√			
				Collection of fees for Adult Learning		√	Substantial						
Social Inclusion Service	Community Safety	Operational	Community Safety	Safe Durham Partnership Governance Review									
				(Will be consider as part of corporate review of partnership framework)									
Social Inclusion Service	Libraries	Operational	Libraries	Governance review							√		
				Durham Learning Resources Centre (Service Request)				√					
				Establishment Audits									
				2009/10	√		Moderate						
				2010/11		√	Substantial						

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
Social Inclusion Service	Healthier Communities	Operational	Substance Misuse	Governance Review						√			
Social Inclusion Service	Healthier Communities	Operational	Community Engagement	To be considered in corporate governance review									
Social Inclusion Service	Healthier Communities	Operational	Healthier Communities	Learning Disabilities Partnership Board Governance Review (Will consider as part of corporate review of partnership framework)									
	Healthier Communities			Older Adults Partnership Governance Review (Will consider as part of corporate review of partnership framework)									
Social Inclusion Service	Healthier Communities	Operational	Healthy Lifestyles	Governance Review							√		
Commissioning	Learning Disability, Mental Health, Substance Misuse, Supporting People	Operational	Procurement and contract monitoring	Joint Commissioning Governance Reviews: Mental Health Learning Disabilities Supporting People Substance Abuse (Procurement to be considered as part of work planned under corporate procurement)									
Commissioning	Procurement, Contracting and Post Contractual Monitoring across all service areas	Operational	Procurement and contract monitoring	Contract and Post Contractual Monitoring - Governance Review (Procurement to be considered as part of work planned under corporate procurement)					√				
Commissioning	Older people/ PDSI, Carers	Operational	Procurement and contract monitoring	Joint Commissioning governance reviews Older People PDSI Carers (Procurement to be considered as part of work planned under corporate procurement)									
Commissioning	Personalisation	Operational	Personalisation	Governance Review					√				
		Advice and Consultancy		Finance and Contributions Sub-Group				√	√				
		Operational		Direct Payments	√		Moderate						

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
Commissioning	Heritage and Culture	Operational	Heritage and Cultural Attractions	Governance Review Locomotion Killhope Small Grants for Arts	√	√	Moderate Limited Moderate						√
Commissioning	Heritage and Culture	Operational	Gala Theatre and Cinema	Governance Review							√		
				Stage School		√	Limited						
				Box Office	√		Moderate						
				Gala Hospitality and Catering (Service request)				√					
Commissioning	Heritage and Culture	Operational	Events Management	Governance Review Corporate Review - NS lead				√					

CYPS

Safeguarding and Specialist Services (SaSS)	Safeguarding and Specialist Services (SaSS)	Operational	Assessments and child protection plans	Governance Review (Review of internal assurance processes) External and High Cost Placements							√		
Safeguarding and Specialist Services (SaSS)	Safeguarding and Specialist Services (SaSS)	Operational	Looked after children	Governance Reviews:		√				√			
		VFM		Looked after children transport arrangements		√							
		Advice and Consultancy		Tow Law CU		√							
Safeguarding and Specialist Services (SaSS)	Safeguarding and Specialist Services (SaSS)	Operational	Secure Services	Governance Review (Contract with Youth Justice Board)							√		
		Finance		Aycliffe Secure Unit		√	Limited						
Safeguarding and Specialist Services (SaSS)	Safeguarding and Specialist Services (SaSS)	Operational	Specialist Services	Governance Review						√			
		Advice and Consultancy		Emergency Duty Team (Service request to review sessional and overtime costs)					√				
Safeguarding and Specialist Services (SaSS)	Safeguarding and Specialist Services (SaSS)	Operational	Business and Support Services	Governance Review							√		
County Wide Services	County Wide Services	Operational	School Admissions	Data Quality Assurance on Addresses				√					
			Missing Children	Data Quality		√	Substantial						
			Home to School Transport	Governance Review							√		
				End of Year Contract Hire (RED)		√	Moderate						

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
				School Transport Budget (RED)		√	Limited						
		Advice and Consultancy		Service request to review charging arrangements following consultation exercise					√				
			Alternative Education Provision	Governance Review						√			
			Access Service	Governance Review								√	
				Pupil Referral Unit - Financial Management		√	Moderate						
County Wide Services		Operational	Learning Support Services	Governance Review						√			
			Special Educational Needs Placement and Provision	Governance Review							√		
			Education Psychology Service	Governance Review	√		Moderate						√
			Education Welfare Service	Governance Review									√
County Wide Services	Positive Activities for Young People	Operational	PAYP (Education in the Community)	Governance Review					√				
Achievement Services	Achievement Services		Education Development Service	Governance Review							√		
		Advice and Consultancy		Review of arrangements in place for tracking contracts (Service Request)					√				
			Durham Schools Continuing Professional Development Partnership	Governance Review						√			
			Education and Business Learning Organisation	Governance Review							√		
			BSF	Programme Assurance				√	√				
			Schools Organisations and Capital Programme	Governance Review (Corporate review of capital programme c/frwd from 2010/11)							√		
			Outdoor Education Service	Governance Review									√
			School Governors Support Service	Governance review		√	In progress						
			Schools	Academy Transfer Arrangements (provision in Interim Plan for Financial Arrangements only)					√				
				Governance Review				√					
				Procurement					√				
				Safe Recruitment and Selection (service request)					√				
				Safeguarding of Children						√			
				Safeguarding of Assets							√		
				Private School Funds					√				

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
Early Intervention and Partnership Services	Investing In Children												
Finance Services	School Funding Team	Financial Management	Management of Grant funding	Dedicated Schools Grant							√		
				Early Intervention Grant					√				
				Pupil Premium Grant					√				
				Devolved Capital Budget								√	
				Standards Fund									√
				LSC Funding 16-19 Year Olds		√	Full						
				Clawback of School Balances		√	Full						
				YPLA - Schools with 6th forms - funding compliance					√	√	√	√	√
		Grant Certification		School Competition Managers									
		Grant Certification		Business Ambassador Programme									
Finance Services	School Funding Team	Financial Management	Schools Financial Management	Schools SLAs					√				
				School Budget Preparation & Monitoring					√				
				S52 and Financial Reporting									
				Free School Meal Entitlement		√	Moderate	√					
				YPLA Funding Compliance				√		√	√	√	√
				Academy Transfer Arrangements				√					
Finance Services	School Meals	Operational	School Catering	COL & Extended Services		√	Moderate						
		Advice and Consultancy		Governance Review					√				
				Accounting for School Meals provided within Secondary Schools		√							

NEIGHBOURHOOD SERVICES

Customer Services	Customer Services Operations	Corporate Governance	Customer Services	Governance Review Incorporating - Call Centre (Cancelled from 2010/11)					√				
Finance, HR and Business Support, including policy,	Business Support	Corporate Governance	Procurement	To be informed by Corporate Review of Procurement									
		Financial Management	Creditors/P2P	Corporate review of P2P key controls		√	Limited						
			Creditors P2P Purchasing Cards Petty Cash	NS P2P Governance Review (Time to be allocated from Corporate Review)					√	√	√	√	√
		Financial Management	Stores	Governance Review						√			
		Financial Management	Payroll	Key Controls (Starter & Leavers) Time to be allocated from Corporate Provision	√		Moderate	√		√	√	√	√

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
		Financial Management	Insurance claims handling	Assurance to be provided through Corporate Review of Insurance Arrangements									
Finance, HR and Business Support, including policy, performance and communications	Policy, performance and communications	Corporate Governance	Policy, Planning and performance management	Assurance to be provided through Corporate Governance Review of Performance Management Arrangements and annual Data Quality (PI) Audits									
	HR	Operational	Health and Safety	Governance Review Work planned 2010/11 cancelled - to be considered as part of corporate review									
		Corporate Governance	Organisational Development and Work Force Planning	Assurance to be provided through Corporate Governance Review of Organisational Development and Workforce Planning									
Finance, HR and Business Support, including policy, performance and communications	Finance	Financial Management	Finance	Income Collection To be considered as part of corporate review		√	In Progress	√		√	√	√	√
		Financial Management	Finance	Budgetary Control & Financial Reporting (To be considered as part of corporate review)	√		Moderate						
Direct Services	Streetscene	Operational	Refuse and recycling services	Governance review					√				
				Trade Waste				√					
		Operational	Bereavement Services	Governance Review						√			
				Annual Audits For Joint Cttes Re Durham and Mounsett Crematorium including project assurance on new crematorium	√	√	Substantial		√	√	√	√	√
		Operational	Clean and Green Services Parks, Grounds Maintenance and street sweeping	Governance Review Ground Maintenance Partnering Contract Grounds Maintenance Process Mapping Street Cleansing (Cancelled from 2010/11 to be incorporated into wider governance review)		√	Moderate					√	
						√	N/A						

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
		Operational	Enforcement and Education Neighbourhood Wardens	Governance Review To incorporate Anti Social Behaviour (Cancelled from 2010/11)					√				
Direct Services	Fleet Management	Operational	Fleet Management	Governance Review (To incorporate request for process mapping exercise)					√				
		Operational		Fuel stocks and stores		√	Moderate						
Direct Services	Strategic Waste Management	Operational	Waste Management	Governance Review					√				
Direct Services	Building and Facilities Maintenance	Operational Advice and Consultancy	Repairs and Maintenance	Governance Review Help Desk arrangements process mapping Business process mapping		√	N/A	√					
		Operational	Catering Service	Governance Review County all Restaurant	√		Moderate						√
		Operational	Construction Services & Planned Maintenance Programme	Governance Review						√			
		Operational	Facilities Management	Governance Review					√				
		Operational	Housing Maintenance	Governance Review Gas servicing Responsive repairs		√ √	Substantial Substantial			√			
Environment, Health and Consumer Protection	Public Safety	Operational	Licensing and Regulation of Business	Governance Review EHCP New Back Office System for renewal and raising of licences				√			√		
				Markets		√	Limited					√	
		Operational	Health and Safety at work	Governance review									
		Operational	Parking Control and Enforcement	Governance Review	√		Substantial			√			
	Health Protection	Operational	Food Safety	Governance Review								√	
		Operational	Animal Health and Welfare	Governance Review									√
		Operational	Infectious disease control	Governance Review Control of Infectious Diseases				√	√				
	Environmental protection	Operational	Pollution Control	Governance Review LAPPC (cancelled from 2010/11)					√				
		Operational	Public health	Governance Review						√			

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
		Operational	Private Sector housing	Governance Review							√		
		Operational	Fair Trading	Governance Review								√	
				Economic Well Being Consumer Complaints		√	Substantial						
	Safety and metrology	Operational	Safety and metrology	None - service to be withdrawn									
	Scientific Services	Operational	Scientific Services	None - service to be withdrawn									
Sport and Leisure	Sport and Leisure	Operational	Indoor Facilities	Governance Review					√				
				Establishment Audits	√	√	Moderate						
				Management of Gym Memberships					√				
				Safeguarding Assets				√					
				Leisureworks		√	In Progress						
				Leisure Connections		√	In Progress						
		Operational	Sport Development	Governance Review									√
			Events Management	Governance Review (Corporate Review)				√					
		Operational	Play Services	Governance Review							√		
		Operational	Allotments	Governance Review									√
	Countryside	Operational	Countryside	Governance Review								√	
Technical Services	Strategic Highways	Operational	Local Traffic Management	Governance Review						√			
				Road Safety		√	Substantial						
		Operational	Highway Maintenance	Governance Review (Cancelled from 2010/11)					√				
		Operational	Winter Maintenance	Governance Review							√		
		Operational	Street Lighting	Governance Review (incorporating project Assurance on invest to save scheme)					√				
		Operational	Flooding Mitigation	Governance Review							√		
	Design Services	Operational	Design Services	Governance Review Capital Programme Corporate Review		√	In progress					√	
	Highway Operations	Operational	Highway Operations	Governance Review Capital Programme Corporate Review		√	In progress						√

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
RED													
Planning	Suitability and Climate	Operational	Suitability and Climate Control	Governance review						√			
Planning	Development Management	Operational	Development Management/Control	Governance Review									
		Advice and Consultancy		New Planning System Development					√				
				Planning applications			Moderate						
				Planning S106			Substantial						
Planning	Building Control	Operational	Building Control	Governance Review						√			
				Inspection of dangerous structures	√	√	Moderate						
Planning	Specialist services	Operational	Conservation, design and archaeology, landscape and ecology	Governance Review								√	
		Strategic	Accommodation Strategy	Project Assurance					√				
			Asset Management	Governance Review					√				
Policy Planning & Performance	Policy Planning & Performance	Corporate Governance	Policy, Planning and Performance	Assurance to be provided through corporate governance reviews of Policy and Planning, Performance Management, and annual data quality audits of PI's.									
Policy Planning & Performance	Policy Planning & Performance	Operational	Capital Programme	Governance Review		√	In progress						
				To be considered as part of corporate review									
Economic Development	Economic Development	Operational	Regeneration Funding Management	Governance Review						√			
				Corporate Funding Group/Grants Register		√							
				LEGI & B Franchising		√							
Economic Development	Economic Development	Operational	Events Management	Part of Cross Cutting Review led by NS									
Economic Development	Economic Development	Operational	Strategic Investments	Governance Review									
Economic Development	Economic Generation	Operational	Economic Regeneration	Governance Review									
Economic Development	Economic Development	Operational	County Durham Development Fund	Governance Review		√	Substantial						
				International Exchange									
Economic Development	Economic Development	Operational	Business Services	Governance Review					√				

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
Economic Development	Economic Development	Operational	Tourism Services (Incorporating Visit County Durham)	Governance Review						√			
Strategic Housing	Strategic Housing	Operational	Land and Asset Management	Governance Review							√		
Strategic Housing	Strategic Housing	Operational	Private Sector Housing	Governance Review						√			
Strategic Housing	Strategic Housing	Operation	HRA	Governance Reviews:									
				Capital Programme Management									
				Durham City Homes:									
				<i>Housing Rents</i>		√	In progress				√		
				<i>Planned Maintenance Programme</i>	√		Substantial				√		
				<i>NWA Collection</i>		√	Substantial						√
				<i>Void Management</i>									
				<i>Repairs & Maintenance</i>	√		Moderate					√	
				<i>Housing Tenancies</i>						√			
				ALMO's Governance Arrangements	√		Moderate						
				ALMO's Housing Rents					√				
				ALMO's Contract Monitoring					√				
				Housing Subsidy						√			
		Counter Fraud		Housing Tenancy Risk Assessment		√							
Strategic Housing	Strategic Housing	Operational	Supported Housing	Governance Review						√			
Strategic Housing	Strategic Housing	Operational	Housing Solutions	Governance Review							√		
				Homelessness	√		Limited						
Strategic Housing	Strategic Housing	Operational	Gypsy and travellers sites	Capital Programme Management (To be considered as part of Corporate Review)									
Strategic Housing	Strategic Housing	Operational	Safer Communities	Governance Review (CCTV)							√		
Transport	Transport	Operational	Network Management	Governance Review								√	
Transport	Transport	Operational	Traffic Management	Governance Review						√			
				Public Right of Way On Street Parking		√	Moderate						
						√	Moderate						
Transport	Transport	Advice and consultancy	Traffic Management	Highways works - business process mapping (service request)				√					
Transport	Transport	Operational	Civil Parking Control and Enforcement	Governance Review (previously carried out under NS)		√	Substantial						
Transport	Transport	Operational	Integrated Transport Unit	Governance Review							√		
		VFM		Home to school and social care transport		√	N/A						
				Concessionary Fares		√	Substantial						

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
		Corporate Governance		ER/VR					√		√		√
		Corporate Governance		Flexible Working						√			
		Corporate Governance		Home working		√	Moderate				√		
		Corporate Governance		Sickness Monitoring Joint Scrutiny Review					√				
		Operational		ResourceLink System Review						√			
		Counter Fraud		Declaration of Interests					√		√		√
HR&OD	HR&OD	Corporate Governance	Organisational Development and Workforce Planning	Governance Review							√		
HR&OD	HR&OD	Corporate Governance	Health & Safety	Governance Review (All services)					√				
HR&OD	HR&OD	Corporate Governance	Pay and Conditions (Reward team)	Compliance assurance through Payroll reviews									
HR&OD	HR&OD	Corporate Governance	Occupational Health	Governance Review								√	
Finance	Strategic Finance	Financial Management	Oracle Support and Development	EBS Application Review					√				
		Advice & Consultancy		EBS Workstreams					√				
Finance	Strategic Finance	Financial Management	Statutory Role	General Ledger Key Controls and reconciliations					√			√	
				Opening Balances	√		Moderate						
				VAT		√	Limited			√			
				MTFP Preparation and risk management of savings				√	√	√	√	√	√
				Budgetary control and financial reporting					√	√	√	√	√
				Grant Funding/Grants Register, Monitoring and certification					√	√	√	√	√
				Capital Accounting				√		√		√	
				Journal Transfer Testing				√		√	√	√	√
				S151 Compliance Review						√			
		Advcie and Consultancy		Finance Procedures Rules and Financial Management Standards					√				
				District Feeder Systems				√					
				SIMS Oracle Reconciliation				√		√	√	√	√
				SSID Oracle Reconciliation				√		√	√	√	√
				Revs & Bens Reconciliations				√		√	√	√	√
Finance	Strategic Finance	Financial Management	Treasury Management	Governance Review					√				
				Bank reconciliation					√	√	√	√	√
				Direct Debit Payments					√				
				Short term Investments Compliance	√	√	Moderate			√	√	√	√

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
				Financial Director/On line Banking						√			
				Banking arrangements including BACS arrangement					√	√			
Finance	Strategic Finance	Financial Management	Insurance	Governance Review					√				
Finance	Financial Resources	Financial Management	Accounts Payable	P2P Business Process				√	√				
				P2P Key Controls		√	Limited			√	√	√	√
				Petty Cash Usage		√	Moderate						
				Procurement Cards		√	Limited			√	√	√	√
				Corporate Credit Cards		√	Limited						
				Us of debt/credit card terminals (Service request)					√				
		Counter Fraud		Data Integrity (IDEA Review of payments)				√	√	√	√	√	√
		Counter Fraud		Review of Supplier Records				√					
Finance	Financial Resources	Financial Management	Accountancy Services for resources and ACE	Majority covered by other areas of financial management excluding:									
				Car Loan Scheme									√
				SLA's for external clients						√			
				Central Establishment Recharges							√		
Finance	Revenues & Benefits	Financial Management	Housing Benefit Subsidy (Grant)	Key Control Compliance	√	√	Moderate	√		√	√	√	√
Finance	Revenues & Benefits	Financial Management	NNDR	Governance Review					√				
				Key Controls Compliance	√	√	Moderate						
Finance	Revenues & Benefits	Financial Management	HB and CT Benefit Administration	Governance Review			Moderate		√				
				Key Control Compliance	√	√							
Finance	Revenues & Benefits	Advice and Consultancy	New Revs & Bens System	Project Assurance & testing				√	√				
Finance	Revenues & Benefits	Counter Fraud	Prevention and detection of benefit fraud and error	Governance Review					√		√		√
				Key Controls Compliance	√								
Finance	Revenues & Benefits	Financial Management	Council Tax	Governance Review					√	√	√	√	√
				Key Controls Compliance	√	√	Moderate						

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
Finance	Revenues & Benefits	Financial Management	Income and System Reconciliations	ICON Cash Receipting and Income Management System Revenues & Bens Income Reconciliation	√		Substantial		√	√	√	√	√
Finance	Revenues & Benefits	Financial Management	Income and Debt Recovery (C2C Process)	Governance Review Income from assets Income form on line sales		√	Limited Moderate		√	√	√	√	√
Finance	Payroll and Pensions	Financial Management	Payroll	Governance review					√				
				Key Control Compliance		√	Moderate						
				HR Procedures - Starters and leavers				√		√	√	√	√
				Review of Data using IDEA				√		√	√	√	√
				Taxation/Paye	√		Moderate			√			√
				Resourcelink Online Expenses - Project Assurance					√				
				Expenses and Allowances					√	√	√	√	√
Finance	Corporate Procurement	Corporate Governance	Procurement	Governance review					√				
		Advicie and Consultancy		Strategic Procurement Network					√				
		Advice and Consultancy		E Code of Practice Development					√				
		Corporate governance		Exemptions and Variations Compliance				√					
		Corporate Governance		Quotation System Compliance					√		√		√
				Tendering System Compliance					√			√	√
		VFM		Contract Register review (including an analysis of Council spend as a means of ensuring completeness of register and potential for VFM/efficiency savings)					√	√	√	√	√
		Counter fraud		Analysis of Award of Contracts					√		√		√
		IT		E-Tendering						√			
ICT	ICT Support	IT	ICT Support	Governance Review						√			
				Asset Management (Service request)					√				
				Identify Management (Service Request)					√				
ICT	Applications and Developments	IT	Applications and Developments	Governance Review (Service Request) Change Control	√		Moderate		√				

Service Grouping	Service	Audit Type	Audit Area	Audit	09/10	10/11	AO	Interim	11/12	12/13	13/14	14/15	15/16
ICT	Business Developments	IT	Business Developments	Governance Reviews:									
				Standards Compliance Service Request)					√				
				ISP Compliance Reviews (ISO27001):				√					
				HR Arrangements						√			
				Asset Control						√			
				Comms Operational Management						√			
				Logical Access Control						√			
				Networking						√			
				Physical Security						√			
				Forensic Readiness	√		Limited						
				Security Incident Management	√		Limited						
				Systems Dev & Mtce	√		Limited						
				Warranty	√		Limited						
				Acceptable Use Policy		√	None						
				ICT BCP		√	None						
				Personal IS Policy		√	Limited						
				Organisational Arrangements		√	Moderate						
ICT	Business Support	IT	Business Support	Governance Review						√			
		IT		Software Licenses Compliance		√	Limited						
		Corporate governance		ICT Purchasing									
		Financial Management		ICT Charges									
		IT		ICT Strategy		√	Substantial						
ICT	Technical Services	IT	Technical Services	Governance Review									
				Unix Security	√		Moderate						
				Infrastructure/Network Management									
				Oracle Database review									
				Windows security									
				Internet Security	√		Substantial						
				Backups									
				Mobile Computing		√	Substantial						
				SQL server		√	Moderate						
				Code of Connection		√	Moderate						
				Business Continuity for ICT	√		Limited		√				
				Next Generation Broadband / Digital Durham (Service requests)					√				
ICT	Design & Print	Operational	Design & Print Services	Governance Review (Service request)					√				
ICT	Education Services	IT	School Services	Governance Review						√			
				ITSS	√		Substantial						